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PTO/SB/21 (01-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/581,431	
	Filing Date	2nd June 2006	
	First Named Inventor	BARBAS, Carlos F.	
	Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages in This Submission	15	Attorney Docket Number	TSRI 1019.1 US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard; cover letter; copy of notification to comply-missing requirements; copy of notification of defective response; executed decs.
Remarks		

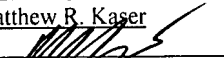
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Bell & Associates		
Signature			
Printed name	Matthew Kaser		
Date	6th February 2008	Reg. No.	44,817

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Matthew Kaser	Date	6th February 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



I hereby certify under 37 CFR § 1.8 that this document and referenced attachments are being deposited with the United States Postal Service in an envelope with sufficient postage as First Class Mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on 6th February 2008
Printed: Matthew R. Kaser
Signed: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re U.S. National Phase Application of:
BARBAS, Carlos F. and CHUNG, Junho**

Title: INTEGRIN $\alpha_{IIb}\beta_3$ SPECIFIC ANTIBODIES AND PEPTIDES


U.S. Application No.	10/581,431
International application number:	PCT/GB2004/040381
International Filing Date:	3 December 2004
Priority application number:	US 60/526,859
Priority date:	3 December 2003

To:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosed:

- Return receipt postcard
- Transmittal Sheet
- Response to Notification of Defective Response
- Copy of Notification to Comply with Requirements mailed 02/06/2007
- Copy of Return Receipt Postcard filed with Application under 35 USC § 371
- Copy of Executed Declarations filed on 06/02/2006
- Copy of Notification of Defective Response mailed 01/11/2008

Respectfully submitted,


Matthew Kaser, D.Phil.
Reg. No. 44,817

Bell & Associates
416 Funston Avenue, Suite 100
San Francisco, California 94118
Tel: (510) 537-2040
Fax: (415) 276-6040
mkaser@bell-iplaw.com



I hereby certify under 37 CFR § 1.8 that this document and referenced attachments are being deposited with the United States Postal Service in an envelope with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On: 6 February 2008

Signed: _____

Printed: Matthew Kaser

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
PO Box 1450
Alexandria
VA 22313-1450

US Application No.: 10/581,431
International Application No.: PCT/US04/40381
International Filing Date: 3 December, 2004
Title: Integrin $\alpha_{IIb}\beta_3$ Specific Antibodies and Peptides
Inventors: BARBAS, Carlos F. and CHUNG, Junho
Attorney Docket No. TSRI 1019.1 US

Response to Notification of Defective Response

Sir,

This is a Response to the Notification of Defective Response, mailed January 11, 2008. This response is timely filed.

The Notification states that Applicants Response (filed June 4, 2007) to the Notification to Comply with Requirements, mailed February 6, 2007 have not been completed.

The Notification further states that an oath or declaration of the inventors under 37 CFR § 1.497(a) and (b), identifying the application by the International Application Number and international filing date must be furnished.

Applicants respectfully submit that the Notification to Comply with Requirements, mailed February 6, 2007, referred to filing a sequence listing in compliance with 37 CFR § 1.822 and/or 1.823, as well as payment of application examination fee, but did not include reference to a requirement for an executed declaration (copy of Notification enclosed).

Applicants respectfully submit that copies of the executed declaration of the inventors identifying the application by the International Application Number and international filing date were furnished with the filing of the National Phase Application under 35 USC § 371 on June 2, 2006. A copy of the return receipt postcard enclosed herewith shows that the declaration (4 pages) was so filed. Applicants therefore submit that Applicants had completed the formalities of USC § 371 on June 2, 2006.

Nevertheless, in order to advance prosecution, Applicants herewith submit additional copies of the Executed Declaration of the inventors (6 pages, enclosed).

Applicants believe that this response does not require payment of a fee. However, if the Commissioner finds any additional charges or fees must be paid in connection with this communication, they may be paid out of **Bell & Associates Deposit Account No. 50-3194**. The Commissioner is also authorized to credit any overpayment.

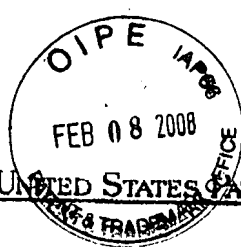
A copy of the Notification of Defective Response is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'MK', with a long horizontal flourish extending to the right.

Matthew Kaser, D.Phil.
44,817

Bell & Associates
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UNITED STATES PATENT AND TRADEMARK OFFICE

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Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/581,431	FIRST NAMED APPLICANT Carlos F Barbas	ATTY. DOCKET NO.
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39843
BELL & ASSOCIATES
416 FUNSTON ST., SUITE 100
SAN FRANCISCO, CA 94118

INTERNATIONAL APPLICATION NO. PCT/US04/40381	
I.A. FILING DATE 12/03/2004	PRIORITY DATE 12/03/2003

CONFIRMATION NO. 5101
371 FORMALITIES LETTER

OC000000022341300

Date Mailed: 02/06/2007

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$330** for a Large Entity:

- **\$130 Surcharge.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).
- The application examination fee has not been paid. Applicant must submit **\$200** to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 8 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

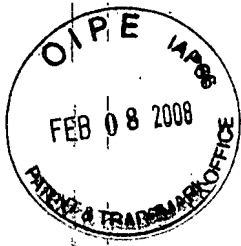
If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO	ATTY. DOCKET NO.
10/581,431	PCT/US04/40381	



Bell & Associates
416 Funston Avenue, Suite 100
San Francisco
CA 94118

|||||

No PC?
F. May 2008

Express Mail No. EQ 580 509 500 US

Docket No. TSRI 1019.1 US

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Mailed: 2 June 2006

Serial No.: Not yet assigned
Filing Date: Herewith
International Application No. PCT/US2004/040381
International Filing Date: 7 December 2004
Title: INTEGRIN (α₅β₁) SPECIFIC ANTIBODIES AND PEPTIDES
Inventors: BARBAS, Carlos F. and CHUNG, Junho

Received:

Return receipt postcard

Transmittal Cover Letter (1 page)

➤ Transmittal Sheet PTO-1390 (3 pages, 3rd page in duplicate)

Preliminary Amendment (4 pages)

Copy of cover page of published International Application and ISR (2 pages)

Copy of PCT/IB/304 (1 page)

Copy of PCT/IB/308 (2 pages)

2 x CD-ROM Sequence Listing Part, Copy 1; Copy 2 (ASCII)

1 x CD-ROM Sequence Listing Part, CRF, RMS Word

➤ Declaration, executed (4 pages)

Power of Attorney, executed (2 pages)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/581,431	FIRST NAMED APPLICANT Carlos F Barbas	ATTY. DOCKET NO.
39843 BELL & ASSOCIATES 201 WARREN DRIVE SAN FRANCISCO, CA 94131		INTERNATIONAL APPLICATION NO. PCT/US04/40381
		IA FILING DATE 12/03/2004
		PRIORITY DATE 12/03/2003

CONFIRMATION NO. 5101
371 FORMALITIES LETTER



Date Mailed: 01/11/2008

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the ID to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Priority Document
- Copy of the International Application filed on 06/02/2006
- Copy of the International Search Report filed on 06/02/2006
- Copy of IPE Report filed on 06/02/2006
- Preliminary Amendments filed on 06/02/2006
- Biochemical Sequence Diskette filed on 06/04/2007
- Biochemical Sequence Listing filed on 06/04/2007
- Request for Immediate Examination filed on 06/02/2006
- U.S. Basic National Fees filed on 06/02/2006
- Priority Documents filed on 06/02/2006
- Power of Attorney filed on 06/02/2006

Applicant's response filed 06/04/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/06/2007 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER

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